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APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/601,643	06/24/2003	Mutsuko Hatano	NITT.0142	1270

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EXAMINER
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MOON, SEOKYUN

ART UNIT	PAPER NUMBER
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2629

DATE MAILED: 06/15/2006

Please find below and/or attached an Office communication concerning this application or proceeding.

<b>Office Action Summary</b>	<b>Application No.</b> 10/601,643	<b>Applicant(s)</b> HATANO ET AL.	
	<b>Examiner</b> Seokyun Moon	<b>Art Unit</b> 2629	

**-- The MAILING DATE of this communication appears on the cover sheet with the correspondence address --**

**Period for Reply**

A SHORTENED STATUTORY PERIOD FOR REPLY IS SET TO EXPIRE 3 MONTH(S) OR THIRTY (30) DAYS, WHICHEVER IS LONGER, FROM THE MAILING DATE OF THIS COMMUNICATION.

- Extensions of time may be available under the provisions of 37 CFR 1.136(a). In no event, however, may a reply be timely filed after SIX (6) MONTHS from the mailing date of this communication.
- If NO period for reply is specified above, the maximum statutory period will apply and will expire SIX (6) MONTHS from the mailing date of this communication.
- Failure to reply within the set or extended period for reply will, by statute, cause the application to become ABANDONED (35 U.S.C. § 133). Any reply received by the Office later than three months after the mailing date of this communication, even if timely filed, may reduce any earned patent term adjustment. See 37 CFR 1.704(b).

**Status**

- 1) ☒ Responsive to communication(s) filed on 24 June 2003.
- 2a) ☐ This action is **FINAL**.                      2b) ☒ This action is non-final.
- 3) ☐ Since this application is in condition for allowance except for formal matters, prosecution as to the merits is closed in accordance with the practice under *Ex parte Quayle*, 1935 C.D. 11, 453 O.G. 213.

**Disposition of Claims**

- 4) ☒ Claim(s) 1-11 is/are pending in the application.
- 4a) Of the above claim(s) \_\_\_\_\_ is/are withdrawn from consideration.
- 5) ☐ Claim(s) \_\_\_\_\_ is/are allowed.
- 6) ☒ Claim(s) 1-11 is/are rejected.
- 7) ☐ Claim(s) \_\_\_\_\_ is/are objected to.
- 8) ☐ Claim(s) \_\_\_\_\_ are subject to restriction and/or election requirement.

**Application Papers**

- 9) ☐ The specification is objected to by the Examiner.
- 10) ☒ The drawing(s) filed on 24 June 2003 is/are: a) ☒ accepted or b) ☐ objected to by the Examiner.  
Applicant may not request that any objection to the drawing(s) be held in abeyance. See 37 CFR 1.85(a).  
Replacement drawing sheet(s) including the correction is required if the drawing(s) is objected to. See 37 CFR 1.121(d).
- 11) ☐ The oath or declaration is objected to by the Examiner. Note the attached Office Action or form PTO-152.

**Priority under 35 U.S.C. § 119**

- 12) ☒ Acknowledgment is made of a claim for foreign priority under 35 U.S.C. § 119(a)-(d) or (f).
- a) ☒ All    b) ☐ Some \*    c) ☐ None of:
1. ☒ Certified copies of the priority documents have been received.
2. ☐ Certified copies of the priority documents have been received in Application No. \_\_\_\_\_.
3. ☐ Copies of the certified copies of the priority documents have been received in this National Stage application from the International Bureau (PCT Rule 17.2(a)).

\* See the attached detailed Office action for a list of the certified copies not received.

**Attachment(s)**

- |  |   |
|--|---|
| 1) <input checked="" type="checkbox"/> Notice of References Cited (PTO-892)  | 4) <input type="checkbox"/> Interview Summary (PTO-413)<br>Paper No(s)/Mail Date. _____ |
| 2) <input type="checkbox"/> Notice of Draftsperson's Patent Drawing Review (PTO-948)   | 5) <input type="checkbox"/> Notice of Informal Patent Application (PTO-152)             |
| 3) <input checked="" type="checkbox"/> Information Disclosure Statement(s) (PTO-1449 or PTO/SB/08)<br>Paper No(s)/Mail Date <u>6/24/03</u> . | 6) <input type="checkbox"/> Other: _____  |

## DETAILED ACTION

### *Priority*

1. Receipt is acknowledged of papers submitted under 35 U.S.C. 119(a)-(d), which papers have been placed of record in the file.

### *Information Disclosure Statement*

2. The information disclosure statement filed on June 24, 2003 has been acknowledged and considered by the Examiner. An initial copy of Form PTO-1449 is included in this office action.

### *Claim Rejections - 35 USC § 103*

3. The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negated by the manner in which the invention was made.

4. **Claims 1-9 and 11** are rejected under 35 U.S.C. 103(a) as being unpatentable over Kaise et al. (U.S. Pat. No. 6,483,495 B2, herein after referred to as "Kaise") in view of Applicant's admitted prior art (herein after referred to as "AAPA").

As to **claim 1**, Kaise [fig. 1A] teaches an image display device ("*active matrix type liquid crystal display device 100*") having an active matrix substrate ("*base substrate 110*") provided with a pixel region ("*display region 140*") [col. 6 lines 1-8]

having a large number of pixels arranged in a matrix configuration [fig. 2A], and a drive circuit region ("*drive circuitry region 150*") disposed outside of said pixel region [col. 6 lines 9-10] for supplying drive signals to said large number of pixels via interconnection lines ("*scanning lines 112*" and "*data signal lines 113*") [fig. 2B] [col. 6 lines 58-61],

wherein:

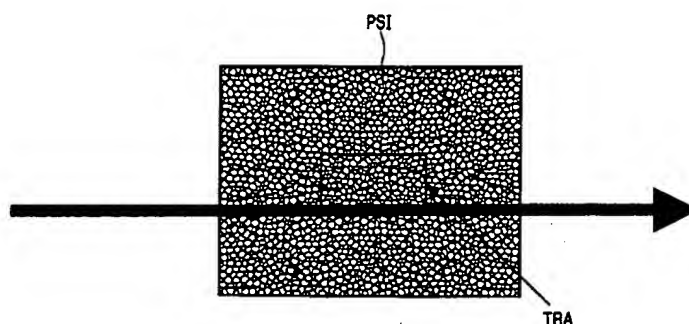
said driver circuit region ("*drive circuitry region 150*") [fig. 2A] comprises a plurality of stages of circuit sections ("*shift register circuit 131*", "*buffer circuit 132*", and "*sample holding circuit 133*") successively processing an externally supplied display signal (the signal inputted to the "*shift register circuit 131*") to produce a drive signal (the signal outputted from the "*sample holding circuit 133*") to be supplied to said pixel region ("*display region 140*"), each of said plurality of stages of circuit sections having a different function [col. 7 lines 50-67],

at least one of said plurality of stages of circuit sections ("*shift register circuit 131*", "*buffer circuit 132*", and "*sample holding circuit 133*") is provided with active elements [col. 7 lines 60-62].

Kaise does not expressly teach the active elements being fabricated in discontinuous converted regions and having a direction of moment of carriers therein a direction of grain boundaries of silicon films forming the discontinuous converted regions.

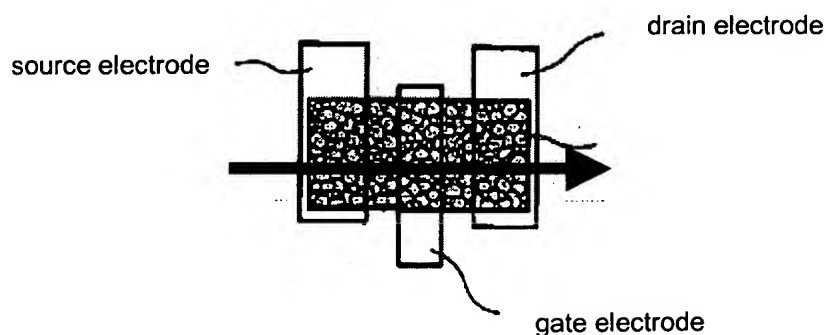
However, AAPA discloses active elements being fabricated in discontinuous (the converted polysilicon film PSI has boundaries to be implemented in a limited size of a display, thus requires to be discontinuous at the boundaries) converted (converted from an "*amorphous silicon film ASI*" into a "*polysilicon film PSI*" by irradiating excimer laser

light ELA on the “*amorphous silicon film ASI*”) regions (“*polysilicon film PSI*”) [appl. pg 3 line 13 – pg 4 line 25] formed of roughly-band-shaped-crystal silicon films [appl. fig. 35B: “*PSI-L*”] having grain boundaries continuous in generally one direction [drawing 1 provided below] [appl. pg 4 lines 18-21],



Drawing 1

and the active elements (“*TFT*”) to have a direction of moment of carriers (electrons or holes) therein in a direction of grain boundaries [drawing 2 provided below, wherein it is inherent that electrons moves from source to drain in a transistor].

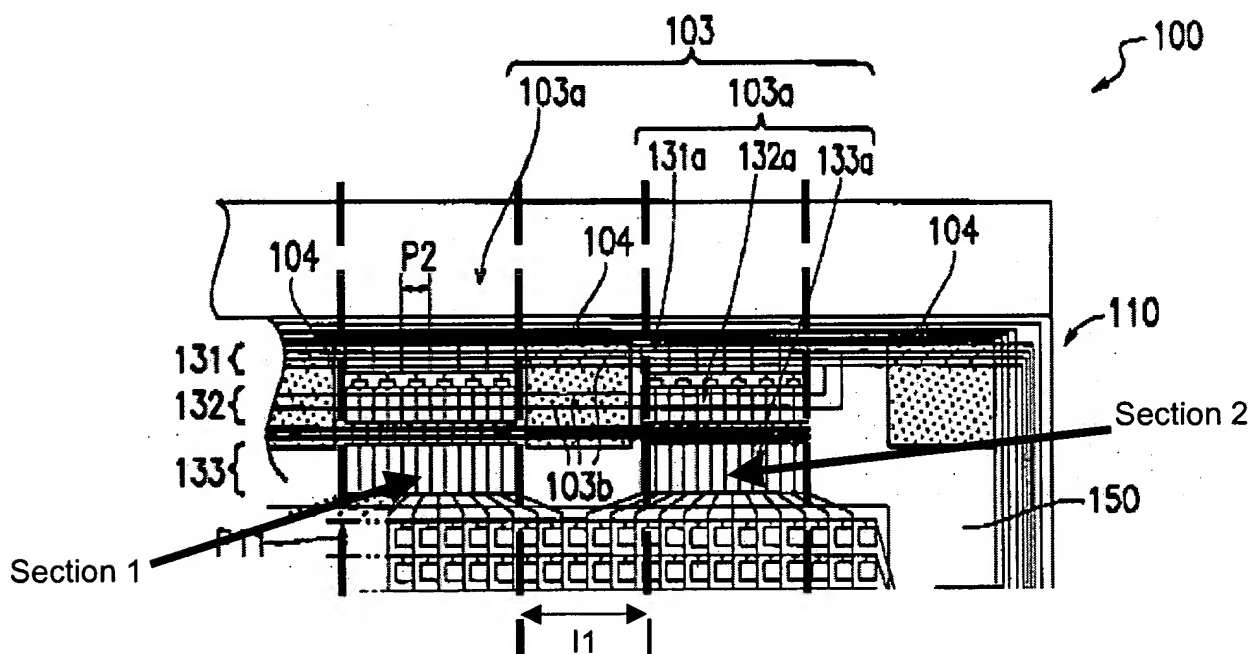


Drawing 2

It would have been obvious to one of ordinary skill in the art at the time of the invention to fabricate Kaise's active elements included in a plurality of stages of circuit

sections on polysilicon films in a way as taught by AAPA, to provide the active element with high mobility, thus to provide an image of better quality [appl. pg 2 lines 8-17].

As to **claim 2**, Kaise [fig. 2A] teaches that said circuit sections ("*section 1*" and "*section 2*" shown in drawing 3 of this office action) of each of said plurality of stages ("*shift register circuit 131*", "*buffer circuit 132*", and "*sample holding circuit 133*") are arranged along one side (upper side) of said active matrix substrate ("*base substrate 110*") at specified intervals ("*I1*" shown in drawing 3) at a periphery thereof.

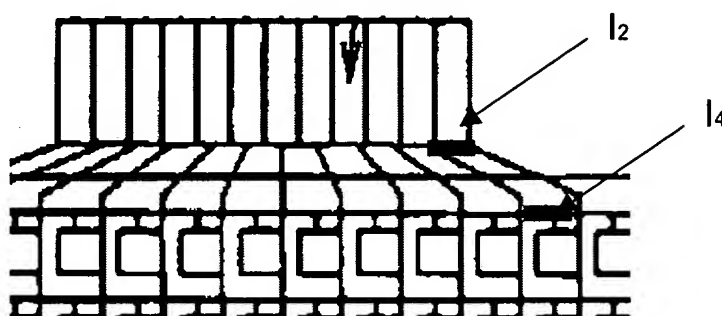


### Drawing 3

**As to claim 3, Kaise [fig. 2A] teaches that**

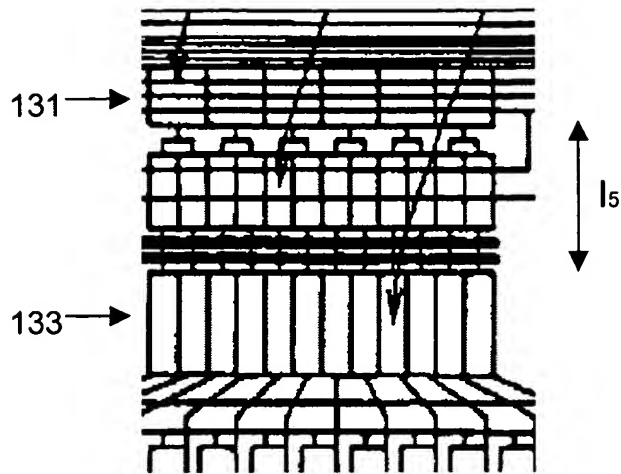
circuit sections ("*sample holding circuit 133*") having said active elements formed therein are in a final output stage of said plurality of stages ("*shift register circuit 131*", "*buffer circuit 132*", and "*sample holding circuit 133*") [col. 7 lines 60-62], and

said interconnection lines coupling said final output stage to said plurality of pixels are arranged at wider intervals on a pixel-region side thereof than a final-output-stage side thereof [drawing 4 provided below:  $l_2 < l_4$ ].



Drawing 4

As to **claim 4**, Kaise [fig. 2A] teaches that said circuit sections ("*shift register circuit 131*" and "*sample holding circuit 133*") having said active elements formed therein are arranged in two or more parallel rows along one side of said active matrix substrate at specified intervals ( $l_5$ ) at a periphery thereof [drawing 5 provided below] [col. 2 lines 64-67].



Drawing 5

As to **claim 5**, Kaise [fig. 1B] teaches said active elements (the switching elements included in the "*sample holding circuit 133*" which is included in "*data driver 103*") [col. 7 lines 51-54 and lines 60-62] being arranged along two opposed sides of said active matrix substrate ("*base substrate 110*") at specified intervals ("*l<sub>1</sub>*") at peripheries thereof [drawing 3 of this office action].

As to **claim 6**, Kaise does not expressly disclose areas of said circuit sections having said active elements formed therein vary with a scale thereof.

However, examiner takes official notice that it is well known to increase the size of the circuit sections included in the driving circuitry of a display to provide driving signals for greater number of pixels when the size of the display is increased.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to specify the areas of Kaise's circuit section occupied in a display to vary with a scale to accommodate the change on the number of pixels to drive when the size of the display changes.



As to **claim 7**, all of the claim limitations have already been discussed with respect to the rejection of claim 4 except for said circuit sections in one of said two or more rows being offset in longitudinal directions.

Kaise [fig. 2A] teaches that said circuit sections ("*sample holding circuit 133*") in one of said two or more rows ("*shift register circuit 131*" and "*sample holding circuit 133*") are offset in longitudinal directions thereof from said circuit sections in an adjacent one of said two or more rows (the signal outputted from the "*shift register 131*" is transmitted to "*sample holding circuit 133*").

As to **claim 8**, all of the claim limitations have already been discussed with respect to the rejection of claim 7 since said active elements are included in said circuit sections.

As to **claim 9**, Kaise does not expressly disclose in his preferred embodiment that said active elements are thin film transistor.

Kaise does, however, disclose in his background that thin film transistors are used as switching elements which are implemented in the driving circuitry of a display [col. 1 lines 21-28].

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use a thin film transistor as a switching element in the preferred embodiment of Kaise to provide high-speed response and large number of pixels, resulting in a large-size and high-definition display [col. 1 lines 21-28].

As to **claim 11**, Kaise does not teach each of said pixels to comprise an organic EL layer.

However, examiner takes official notice that specifying each of plural pixels included in a display to comprise an organic EL layer is well known in the art.

Therefore, it would have been obvious to one of ordinary skill in the art at the time of the invention to use Kaise's method of optimizing driving circuitry in the driving circuitry for EL displays, to prevent a reduction of image quality for the display and to provide a method of miniaturizing the display [col. 5 lines 12-22] since Kaise provides a method of preventing a threshold fluctuation of an active element included in the drive circuitry, rather than providing a method of optimizing a driving function of pixels.

7. **Claim 10** is rejected under 35 U.S.C. 103(a) as being unpatentable over Kaise and AAPA as applied to claims 1-9 and 11 above, and further in view of Nagata et al. (U.S. Pat. No. 6,118,505, herein after referred to as "Nagata").

Kaise [fig. 1A] teaches an image display device ("*liquid crystal display device 100*") comprising a liquid crystal layer ("*130*"), wherein said liquid crystal layer is sandwiched between said active matrix substrate ("*base substrate 110*") and a counter substrate ("*120*") superposed on said active matrix substrate with a specified spacing ("*S1*" shown in drawing 6) therebetween.



However, Nagata teaches a liquid crystal display device having a color filter being formed in the counter substrate [col. 17 lines 65-67].

It would have been obvious to one of ordinary skill in the art at the time of the invention to include Nagata's color filter in Kaise's counter substrate to produce adequate colors for each of plural pixels and thus to provide adequate colors for the images to be displayed on the display.

## Conclusion

8. Any inquiry concerning this communication or earlier communications from the examiner should be directed to Seokyun Moon whose telephone number is (571) 272-5552. The examiner can normally be reached on Mon - Fri (8:30 a.m. - 5:00 p.m.).

If attempts to reach the examiner by telephone are unsuccessful, the examiner's supervisor, Amr Awad can be reached on (571) 272-7764. The fax phone number for the organization where this application or proceeding is assigned is 571-273-8300.

Information regarding the status of an application may be obtained from the Patent Application Information Retrieval (PAIR) system. Status information for published applications may be obtained from either Private PAIR or Public PAIR. Status information for unpublished applications is available through Private PAIR only. For more information about the PAIR system, see <http://pair-direct.uspto.gov>. Should you have questions on access to the Private PAIR system, contact the Electronic Business Center (EBC) at 866-217-9197 (toll-free). If you would like assistance from a USPTO Customer Service Representative or access to the automated information system, call 800-786-9199 (IN USA OR CANADA) or 571-272-1000.

June 12, 2006

S.M.



**SUMATI LEFKOWITZ**  
**SUPERVISORY PATENT EXAMINER**